

RESEARCH POLICY 9 (RP-9) (FORMER TAP 46)
PARTICIPATION IN COMMERCIAL ACTIVITIES

1. Introduction

Duquesne University, as an institution of higher learning, is committed to providing excellence in education, research, and scholarship as well as to expanding knowledge and the application of that knowledge to advance the greater good of our community, the nation, and the world. The products of scholarly research efforts often have far-

Substantial Use

Extensive unreimbursed use of University facilities, equipment, personnel (including graduate students), or other resources. Mere incidental use of a facility does not constitute Substantial Use. Use will be considered substantial if similar use of similar facilities would cost the Creator

1. The relationship has been reviewed and approved by the EOC and the Office of the Provost in accordance with employment, conflict of interest policies, and any other applicable University policies.
2. The Startup Company is legally formed and has the potential for credible investors.
3. The aggregate percentage of stock, stock options, and warrants held by the Creator in the start-up company is a minority one. Exceptions for early Startup Companies which do not have products in trials or being sold may be

2. Review and recommendations for acquiring stock as part of licensing agreements should be provided by the EOC and the Office of Research and Innovation to the University President or their designee.
3. Once equity is received by the University, the equity will be held, managed and liquidated in accordance with RP-5, Intellectual Property, and other applicable policies and procedures of the University, including procedures to ensure the non-disclosure of any material non-public information.

6.3. Startup Company-Sponsored Research at the University

Sponsored research related to the business of the Startup Company will be permitted at University facilities by the Creators who developed the Intellectual Property, provided that the following are maintained:

1. In addition to compliance with all other requirements related to research proposals, the research proposals are reviewed by the EOC prior to approval. All such proposals are
2. Periodic reports are provided to the EOC on the research progress and results,

RP-4

Faculty Research Proposals
to Governmental,