

**RESEARCH POLICY 7 (RP-7) (FORMER RP/TAP-33)**  
**CONFLICTS OF INTEREST IN GRANTS AND AWARDS**

---

## **5. Responsibilities**

---

When the University engages in or intends to engage in a sponsored grant or award with a sponsoring entity, or has subcontracted or intends to subcontract with a sponsoring entity under a sponsored grant or award, a Conflict of Significant Financial Interest may occur when there is an affiliation with the sponsoring entity that meets any of the following criteria:

1. The recipient/proposed recipient of the sponsored grant or award is an officer, director, partner, trustee, employee, advisory board member, consultant or agent of the sponsoring entity either funding a sponsored grant or award or providing goods and services under a sponsored grant or award on which the recipient/proposed recipient is participating in any capacity.
2. The



1. Not accept the sponsored grant or award.
2. Accept the sponsored grant or award subject to suitable modifications in the award document or in the recipient/proposed recipient, co-recipient/proposed co-recipient, or their family member's/members' affiliation with the sponsoring entity involved. Such modifications might include:
  - a. Making public disclosure of the financial interests.
  - b. Review of data and research results by inhouse independent reviewers identified by the committee and the recipient(s).
  - c. Modification of the research plan.
  - d. Disqualification from participation in a portion of the research.
  - e. Divestiture of significant financial interests.

annual disclosure statement regarding Conflicts of Financial Interests is distributed by the Controller's Office and must be completed by all active recipients.

---

**7. Exceptions**

---

		<a href="#">administrative-policies-(taps)/46-commercial-entities%E2%80%94faculty-staff-and-student-participation</a>
--	--	---

## 9. Contacts

Office	Telephone Number	Email Address and/or URL
Office of Research and Innovation	412-396-6326	duq.edu/research <a href="mailto:ORI@duq.edu">ORI@duq.edu</a>

Web Address for this Research Policy: <https://www.duq.edu/research/research-conduct>

## 10. Effective Date and Revision History

This Research Policy is subject to periodic review and update by the Office of the Provost and the Vice Provost for Research.

09/30/22 (Previous revision was 03/2014)

Internal ID #

DUQUESNE UNIVERSITY ATTACHMENT A  
DISCLOSURE STATEMENT REGARDING  
CONFLICTS OF FINANCIAL INTERESTS

Applicant		
Title		
Department		
Co-Applicants		
Project Title		
Dates	Start	End
Funding Agency		

1.

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

---

---

---

---

---